

RM&amp;W

Fax: 703-391-2901

Apr 6 2007 11:10

P.02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Michael NEUMAN *et al.*

Appln. No.: 10/068,776

TC/AU: 2132

Filed: Feb. 6, 2002

Examiner: B. Lanier

Title: *Apparatus and Method for Providing Secure Network Communication*

Conf. No.: 7550

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

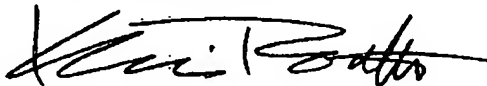
## REQUEST FOR REFUND

Dear Sir:

Petitioner hereby requests a refund in the amount of \$510.00 charged to Deposit Account No. 18-1579 in connection with the above referenced application. The applicant (a small entity) electronically filed an Amendment and a three (3) month Extension of Time using the EFS-Web facility at around 5:30 p.m. EDT on March 30, 2007. At that time \$510.00 was directed via EFS-Web to be charged to the above-noted deposit account to pay for the extension of time fee. As the result of an EFS-Web service malfunction (acknowledged at the time by the EBC help line), the electronic filing receipt stated that no payment was received with the filing. Thus, \$510.00 was again directed via EFS-Web to be charged to the same deposit account to ensure payment of the extension of time. This resulted in double payment of the fee.

Accordingly, Petitioner requests a refund in the amount of \$510.00 which was charged to Deposit Account No. 18-1579. A printout of the current statement of deposit account is attached hereto, showing the excess \$510.00 charged to the above-referenced Serial number.

Respectfully submitted,



Kevin L. Pontius  
Registration No. 37,512  
Roberts Mardula & Wertheim, LLC  
11800 Sunrise Valley Drive, Suite 1000  
Reston, VA 20191-5302  
(703) 391-2900

April 6, 2007

Atty. Docket No. 2696-001

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VIA FACSIMILE: 571-273-6500  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Michael NEUMAN *et al.*

Appln. No.: 10/068,776

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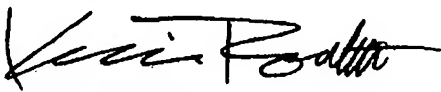
Dear Sir:

Enclosed please find the following:

1. Request for Refund;
2. Deposit Account Statement;
3. Electronic Acknowledgement Receipt dated 03/30/2007, bearing time stamp of 17:32:12;
4. Electronic Acknowledgement Receipt dated 03/30/2007, bearing time stamp of 22:23:41.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. 18-1579. The Commissioner is also authorized to charge Deposit Account No. 18-1579 for any future fees connected in any way to this application. Two copies of this letter are enclosed.

Respectfully submitted,

  
Kevin L. Pontius  
Registration No. 37,512  
Roberts Mardula & Wertheim, LLC  
11800 Sunrise Valley Drive, Suite 1000  
Reston, VA 20191-5302  
(703) 391-2900

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Atty. Docket No. 2696-001

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## Deposit Account Statement

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Deposit Account Statement

Requested Statement Month: April 2007  
 Deposit Account Number: 181579  
 Name: ROBERTS MARDULA & WERTHEIM, LLC.  
 Attention: JON L. ROBERTS  
 Address: 11800 SUNRISE VALLEY DRIVE  
 City: RESTON  
 State: VA  
 Zip: 20191  
 Country: UNITED STATES

| DATE SEQ   | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT      | BAL        |
|------------|--------------------|---------------------------|-------------|----------|------------|
| 04/02 2602 | 10068776           | 2696-001                  | 2253        | \$510.00 | \$7,170.96 |
| 04/02 4519 | 10068776           | 2696-001                  | 2253        | \$510.00 | \$6,660.96 |
| 04/03 29   | 11731203           | 2206-012C                 | 2011        | \$150.00 | \$6,510.96 |
| 04/03 30   | 11731203           | 2206-012C                 | 2111        | \$250.00 | \$6,260.96 |
| 04/03 31   | 11731203           | 2206-012C                 | 2311        | \$100.00 | \$6,160.96 |

| START<br>BALANCE | SUM OF<br>CHARGES | SUM OF<br>REPLENISH | END<br>BALANCE |
|------------------|-------------------|---------------------|----------------|
| \$7,680.96       | \$1,520.00        | \$0.00              | \$6,160.96     |

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Deposit Account Statement

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**Deposit Account Statement**

Requested Statement Month: April 2007  
 Deposit Account Number: 181579  
 Name: ROBERTS MARDULA & WERTHEIM, LLC.  
 Attention: JON L. ROBERTS  
 Address: 11800 SUNRISE VALLEY DRIVE  
 City: RESTON  
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 Zip: 20191  
 Country: UNITED STATES

| DATE  | SEQ  | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT      | BAL        |
|-------|------|--------------------|---------------------------|-------------|----------|------------|
| 04/02 | 2602 | 10068776           | 2696-001                  | 2253        | \$510.00 | \$7,170.96 |
| 04/02 | 4519 | 10068776           | 2696-001                  | 2253        | \$510.00 | \$8,680.96 |
| 04/03 | 29   | 11731203           | 2206-012C                 | 2011        | \$150.00 | \$6,510.96 |
| 04/03 | 30   | 11731203           | 2206-012C                 | 2111        | \$250.00 | \$6,260.96 |
| 04/03 | 31   | 11731203           | 2206-012C                 | 2311        | \$100.00 | \$6,160.96 |

| START<br>BALANCE | SUM OF<br>CHARGES | SUM OF<br>REPLENISH | END<br>BALANCE |
|------------------|-------------------|---------------------|----------------|
| \$7,680.96       | \$1,520.00        | \$0.00              | \$6,160.96     |

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**Electronic Acknowledgement Receipt**

|   |  |
|---|--|
| <b>EFS ID:</b>                              | 1642263  |
| <b>Application Number:</b>                  | 10068776   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7550   |
| <b>Title of Invention:</b>                  | Apparatus and method for providing secure network communication  |
| <b>First Named Inventor/Applicant Name:</b> | Michael Neuman   |
| <b>Correspondence Address:</b>              | Roberts Abokhair & Mardula, LLC<br>Suite 1000<br>11800 Sunrise Valley Drive<br>Reston VA 20191-5302<br>US 7033912900 |
| <b>Filer:</b>                               | Kevin Lane Pontius   |
| <b>Filer Authorized By:</b>                 |  |
| <b>Attorney Docket Number:</b>              | 2696-001   |
| <b>Receipt Date:</b>                        | 30-MAR-2007  |
| <b>Filing Date:</b>                         | 06-FEB-2002  |
| <b>Time Stamp:</b>                          | 17:32:12   |
| <b>Application Type:</b>                    | Utility  |

**Payment information:**

|                               |    |
|-------------------------------|----|
| <b>Submitted with Payment</b> | no |
|-------------------------------|----|

**File Listing:**

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**Electronic Acknowledgement Receipt**

|   |  |
|---|--|
| <b>EFS ID:</b>                              | 1643355  |
| <b>Application Number:</b>                  | 10068776   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7550   |
| <b>Title of Invention:</b>                  | Apparatus and method for providing secure network communication  |
| <b>First Named Inventor/Applicant Name:</b> | Michael Neuman   |
| <b>Correspondence Address:</b>              | Roberts Abokhair & Mardula, LLC<br>Suite 1000<br>11800 Sunrise Valley Drive<br>Reston VA 20191-5302<br>US 7033912900 |
| <b>Filer:</b>                               | Kevin Lane Pontius   |
| <b>Filer Authorized By:</b>                 |  |
| <b>Attorney Docket Number:</b>              | 2698-001   |
| <b>Receipt Date:</b>                        | 30-MAR-2007  |
| <b>Filing Date:</b>                         | 06-FEB-2002  |
| <b>Time Stamp:</b>                          | 22:23:41   |
| <b>Application Type:</b>                    | Utility  |

**Payment information:**

|   |        |
|---|--------|
| <b>Submitted with Payment</b>                   | yes    |
| <b>Payment was successfully received in RAM</b> | \$ 510 |
| <b>RAM confirmation Number</b>                  | 1828   |

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|   |        |
|---|--------|
| Deposit Account   | 181579 |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:<br>Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17 |        |

**File Listing:**

| Document Number | Document Description   | File Name    | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-----------------|------------------------|--------------|------------------|------------------|------------------|
| 1               | Fee Worksheet (PTO-06) | fee-info.pdf | 8143             | no               | 2                |

**Warnings:****Information:**

|                              |      |
|------------------------------|------|
| Total Files Size (in bytes): | 8143 |
|------------------------------|------|

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 505), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## Electronic Patent Application Fee Transmittal

|   |   |                 |               |                             |
|---|---|-----------------|---------------|-----------------------------|
| <b>Application Number:</b>                  | 10068776  |                 |               |                             |
| <b>Filing Date:</b>                         | 06-Feb-2002   |                 |               |                             |
| <b>Title of Invention:</b>                  | Apparatus and method for providing secure network communication |                 |               |                             |
| <b>First Named Inventor/Applicant Name:</b> | Michael Neuman  |                 |               |                             |
| <b>Filer:</b>                               | Kevin Lane Pontius  |                 |               |                             |
| <b>Attorney Docket Number:</b>              | 2696-001  |                 |               |                             |
| Filed as Small Entity                       |   |                 |               |                             |
| <b>Utility Filing Fees</b>                  |   |                 |               |                             |
| <b>Description</b>                          | <b>Fee Code</b>   | <b>Quantity</b> | <b>Amount</b> | <b>Sub-Total in USD(\$)</b> |
| <b>Basic Filing:</b>                        |   |                 |               |                             |
| <b>Pages:</b>                               |   |                 |               |                             |
| <b>Claims:</b>                              |   |                 |               |                             |
| <b>Miscellaneous-Filing:</b>                |   |                 |               |                             |
| <b>Petition:</b>                            |   |                 |               |                             |
| <b>Patent-Appeals-and-Interference:</b>     |   |                 |               |                             |
| <b>Post-Allowance-and-Post-Issuance:</b>    |   |                 |               |                             |
| <b>Extension-of-Time:</b>                   |   |                 |               |                             |
| Extension - 3 months with \$0 paid          | 2253  | 1               | 510           | 510                         |

Adjustment date: 04/12/2007 SFELEKE1  
04/02/2007 INTFSW 00001061 181579 10068776  
01 FC:2253 510.00 CR



| Description       | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|-------------------|----------|----------|--------|----------------------|
| Miscellaneous:    |          |          |        |                      |
| Total in USD (\$) |          |          |        | 510                  |